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Complete If Known

Application Number 10/053,003
Filing Date January 17, 2002
First Named Inventor Forbes, Leonard
Group Art Unit 1765
Examiner Name Kunemund, Robert

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Sheet 1 of 2

Attorney Docket No: 1303.042US1

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
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12	EP-1030196	08/23/2000	Van Nest Braun, P., et al.	G02 B	5/20	
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12	JP-2001-093887	04/06/2001	Yukihiro, U.	H01L	21/306 5	
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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issure number(s), publisher, city and/or country where published.	T ²
12		BIRNER, A., et al., "Silicon-Based Photonic Crystals", <u>Advanced Materials</u> , VCH Verlagsgesellschaft, Weinheim, De, 13, (2001), 377-388	✓
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		JOANNOPOULOS, J D., et al., "Photonic Crystals", Princeton University Press, (1995).	✓
		MITSU TAKE, K., et al., "Theoretical Study on the Formation Process of Empty Space in Silicon (ESS)", <u>2000 International Conference on Solid State Devices and Materials</u> , (2000), 198-199	>
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		NICHOLS, F A., "Surface-(interface) and volume-diffusion contributions to morphological changes driven by capillarity", <u>Transactions of the American Institute of Mining, Metallurgical and Petroleum Engineers</u> , 233(10), (1965), 1840-8	✓
12		SATO, T., "A new substrate engineering for the formation of empty space in silicon (ESS) induced by silicon surface migration", <u>International Electron Devices Meeting 1999. Technical Digest</u> , (1999), 517-20	

EXAMINER

DATE CONSIDERED 11/16/01

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.